

STATE OFFICE FOR THE AGING

MISSION

The New York State Office for the Aging (SOFA) is responsible for promoting, coordinating and administering State, Federal and local programs and services for 3.2 million New Yorkers aged 60 or older. The Office provides leadership and direction to 59 Area Agencies on Aging, as well as to numerous other local programs and providers that comprise the network of services to the aged.

ORGANIZATION AND STAFFING

The State Office for the Aging is headed by a Director and is composed of four divisions: Executive, Finance and Administration, Policy, Management and Public Information and Community Service. The Office will have a workforce of 133 positions in 2009-10, 127 funded within the Office, and an additional six funded by other State agencies. Approximately 49 percent of Office operations are funded by the General Fund and 51 percent are financed by Federal grants and other revenue sources.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$234 million All Funds** (\$114 million General Fund, \$120 million Other Funds) for the State Office for the Aging. This is a decrease of **\$14.1 million** (\$11.3 million General Fund; \$2.8 million Other Funds) from the 2008-09 budget. This change primarily reflects the continuation of across-the-board reductions enacted during the August Special Legislative Session, discontinuation of the 2009-10 cost-of-living adjustments and reductions in non-essential spending, as offset by additional funding to assist seniors enrolled in Elderly Pharmaceutical Insurance Coverage (EPIC) programs in obtaining prescription drug benefits through Medicare Part D. The Executive Budget recommends a staffing level of **133 FTEs** for the Office with no changes from the 2008-09 level.

Major budget actions include:

- **Targeted Investment:** Funding of \$2 million is recommended for local Area Agencies on Aging and community-based organizations to assist seniors enrolled in the Elderly Pharmaceutical Insurance Coverage (EPIC) Program in selecting appropriate Medicare Part D plans.
- **Cost of Living Adjustments:** The Executive Budget saves \$7.1 million by discontinuing the 2009-10 cost-of-living adjustment for certain aging programs similar to other human services programs. This would not impact the cost-of-living adjustments available in the out-years.
- **Non-Essential Spending:** The Budget reduces funding for the Managed Care Consumer Assistance Program, Congregate Services Initiative, Stony Brook Evaluation of Geriatric In-Home Care, Long Term Care Ombudsman Program, and New York Connects. Funding is eliminated for the Individual Living Senior Housing Project, Geriatric In-Home Care, Social Workers for Geriatric In-Home Care, Sustainable Transportation, End of Life Care, Enriched Social Adult Day Centers and the Long Term Care Insurance Education and Outreach Program. These actions generate savings of \$8.1 million.
- **State Operations Savings:** Savings of \$275,000 will be realized by controlling non-personal spending and managing staffing vacancies.

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PROGRAM HIGHLIGHTS

The full array of programs offered through the Office for the Aging are aimed at keeping seniors independent as long as possible with the assistance of family and other informal caregivers, as well as through the limited use of formal support services. These community-based services help keep people healthy, in their homes and out of hospitals and nursing homes.

COMMUNITY BASED LONG TERM CARE SERVICES

The 2009-10 Executive Budget continues funding for the Office's community-based service programs, including Community Services for the Elderly (CSE), Naturally Occurring Retirement Communities (NORCs), Neighborhood NORCs, Respite services and operational funding for transportation providers. The Budget also preserves core funding for Expanded In-home Services for the Elderly Program (EISEP). EISEP provides non-medical in-home services, case management, respite and ancillary services to the frail elderly, most of whom are low income but not eligible for Medicaid. Recipients are required to cost-share, based on their annual income level, for received EISEP program services. EISEP is expected to serve almost 51,000 persons in 2009-10.

NUTRITION SERVICES

Through a combination of State tax dollars and Federal grants, the State annually provides over 23.5 million congregate and home-delivered meals as well as other nutritional services primarily to non-institutionalized frail elderly clients. These seniors are at risk of malnutrition because they are no longer able to obtain an adequate diet without assistance. The Office receives \$41 million from Federal grants in support of congregate and home-delivered meals and another \$15 million to support the purchase of food. The 2009-10 Budget continues funding for the Supplemental Nutrition Assistance Program (SNAP), the State counterpoint to the Federal programs, at \$21 million.

ASSISTANCE TO CAREGIVERS

Family members and other informal caregivers provide an estimated 80 percent of long-term care for older New Yorkers; sustaining this cost-effective, individualized support system for seniors is a key objective of the aging service system. The State Office for the Aging's 17 Caregiver Resource Centers assist caregivers through training programs, support groups, counseling and linkages to other community services. The \$12 million Federal Caregivers Program provides respite, training and counseling for caregivers, as well as other services that support an elderly person's ability to maximize their independence.

VOLUNTEER ADVOCACY

The Long-Term Care Ombudsman Program (LTCOP) supports the statewide advocacy of more than 1,560 trained volunteers on the behalf of the approximately 155,000 residents of New York State's nursing homes, adult care facilities and assisted living residences. Under the LTCOP, volunteers, working in collaboration with

community agencies, receive, investigate and resolve a wide range of concerns and complaints regarding conditions and treatment in long-term care facilities, with the goal of ensuring the quality of life for residents.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2008-09	Appropriations Recommended 2009-10	Change	Reappropriations Recommended 2009-10
State Operations	14,855,000	14,629,000	(226,000)	12,815,200
Aid To Localities	232,899,119	219,004,000	(13,895,119)	120,367,141
Capital Projects	0	0	0	0
Total	247,754,119	233,633,000	(14,121,119)	133,182,341

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2008-09 Estimated FTEs 03/31/09	2009-10 Estimated FTEs 03/31/10	FTE Change
Administration and Grants Management			
General Fund	33	33	0
Special Revenue Funds - Federal	99	99	0
Special Revenue Funds - Other	1	1	0
Total	133	133	0

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2008-09	Recommended 2009-10	Change
General Fund	3,430,000	3,292,000	(138,000)
Special Revenue Funds - Federal	11,025,000	10,987,000	(38,000)
Special Revenue Funds - Other	300,000	250,000	(50,000)
Enterprise Funds	100,000	100,000	0
Total	14,855,000	14,629,000	(226,000)

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(159,000)
Special Revenue Funds - Federal	(775,000)
Appropriated 2008-09	<u>13,921,000</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2008-09	Recommended 2009-10	Change
Administration and Grants Management			
General Fund	3,359,000	3,292,000	(67,000)
Special Revenue Funds - Federal	10,284,000	10,987,000	703,000
Special Revenue Funds - Other	300,000	250,000	(50,000)
Enterprise Funds	100,000	100,000	0
Community Services			
General Fund	71,000	0	(71,000)
Special Revenue Funds - Federal	741,000	0	(741,000)
Total	14,855,000	14,629,000	(226,000)

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**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2009-10 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Grants Management	2,811,000	83,000	2,803,000	83,000
Community Services	0	(71,000)	0	(71,000)
Total	2,811,000	12,000	2,803,000	12,000

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration and Grants Management	8,000	0
Community Services	0	0
Total	8,000	0

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2009-10 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Grants Management	481,000	(150,000)	53,000	20,000
Total	481,000	(150,000)	53,000	20,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Grants Management	101,000	45,000	300,000	90,000
Total	101,000	45,000	300,000	90,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Grants Management	27,000	20,000	0	(325,000)
Total	27,000	20,000	0	(325,000)

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2009-10 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Grants Management	11,337,000	653,000	8,337,000	714,000
Community Services	0	(741,000)	0	(496,000)
Total	11,337,000	(88,000)	8,337,000	218,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Grants Management	2,650,000	(11,000)	350,000	(50,000)
Community Services	0	(245,000)	0	0
Total	2,650,000	(256,000)	350,000	(50,000)

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2008-09</u>	<u>Recommended 2009-10</u>	<u>Change</u>
General Fund	121,547,512	110,424,000	(11,123,512)
Special Revenue Funds - Federal	107,600,000	107,600,000	0
Special Revenue Funds - Other	3,751,607	980,000	(2,771,607)
Total	<u>232,899,119</u>	<u>219,004,000</u>	<u>(13,895,119)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2008-09</u>	<u>Recommended 2009-10</u>	<u>Change</u>
Community Services			
General Fund	121,547,512	110,424,000	(11,123,512)
Special Revenue Funds - Federal	107,600,000	107,600,000	0
Special Revenue Funds - Other	3,751,607	980,000	(2,771,607)
Total	<u>232,899,119</u>	<u>219,004,000</u>	<u>(13,895,119)</u>